

13 October 2014

**MS. JANET A. ENCARNACION**

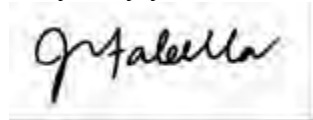
Head, Disclosure Department  
Philippine Stock Exchange  
Philippine Stock Exchange Plaza  
Ayala Triangle, Ayala Avenue, Makati City

**SUBJECT: CALATA CORPORATION'S TOP 100 STOCKHOLDERS AS OF  
SEPTEMBER 30, 2014.**

Dear Ms. Encarnacion,

In compliance with the Philippine Stock Exchange ("Exchange") Revised Disclosure Rules, we submit herewith Calata Corporation's (the "Corporation") Top 100 Stockholders as provided by the Corporation's Stock and Transfer Agent, BDO Unibank, Inc. Stock and Transfer Agent,

Very truly yours,



**Atty. Jose Marie E. Fabella**  
Corporate Secretary / Corporate Information Officer /  
Compliance Officer

LIST OF TOP 100 STOCKHOLDERS  
As Of September 30, 2014

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL	NATIONALITY	ADDRESS
PCD NOMINEE CORP. (FILIPINO)	358,106,049	0	358,106,049	99.443	FILIPINO	37/F THE ENTERPRISE TOWER I, AYALA AVE. COR. PASEO DE ROXAS,
PCD NOMINEE CORP. (NON-FILIPINO)	1,651,731	0	1,651,731	0.459	OTHERS	37/F THE ENTERPRISE TOWER I, AYALA AVE. COR. PASEO DE ROXAS,
JUAN G. YU &/OR JOHN PETER C. YU	350,000	0	350,000	0.097	FILIPINO	12 WALL ST., DONA RITA VILLAGE, BANILAD, CEBU CITY 6000
HECTOR A. SANVICTORES	3,000	0	3,000	0.001	FILIPINO	24 SCOUT TUAZON ST., DILIMAN QUEZON CITY
JULIUS VICTOR EMMANUEL D SANVICTORES	1,000	0	1,000	0.000	FILIPINO	24 SCOUT TUAZON ST., DILIMAN QUEZON CITY
GUILLERMO F. GILI, JR.	100	0	100	0.000	FILIPINO	L10 B7 MOLAVE ST., GREENPLAIN SUBD., MAMBOG, BACOR, CAVITE 4102
JOSE J. LEONARDO &/OR TERESITA A. LEONARDO	100	0	100	0.000	FILIPINO	2532 CALLEJON 2, R. DEL PAN ST., STA. ANA 1009 MANILA
OWEN NATHANIEL S. AU	20	0	20	0.000	FILIPINO	L5 B9 MT. TABOR ST., MT. VIEW SUBD., MANDALAGAN, BACOLOD CITY 6100
GRAND TOTAL	<u>360,112,000</u>	<u>0</u>	<u>360,112,000</u>			



## TOP 100 PDTC PARTICIPANTS

Business Date 09/30/2014

Security ID: CAL000000000		Security Name: CALATA CORPORATION		
Transfer Agent: EQBKTA00000		Transfer Agent Name: BANCO DE ORO UNIBANK, INC. TRANSFER AGENT		
S. No.	BPID	BPNAME	HOLDING	%HOLDING
1	20300000000	COL Financial Group, Inc.	243,121,881.00	67.5830826
2	12500000000	JAKA SECURITIES CORP.	31,904,700.00	8.8688767
3	12600000000	BPI SECURITIES CORPORATION	10,200,934.00	2.8356582
4	21900000000	PAPA SECURITIES CORPORATION	7,440,500.00	2.0683121
5	26700000000	FIRST METRO SECURITIES BROKERAGE CORP.	5,215,680.00	1.4498561
6	10600000000	ALPHA SECURITIES CORP.	4,669,900.00	1.2981400
7	10200000000	ABACUS SECURITIES CORPORATION	4,401,900.00	1.2236413
8	11500000000	SB EQUITIES, INC.	3,743,100.00	1.0405079
9	34500000000	UNICAPITAL SECURITIES INC.	3,373,306.00	0.9377125
10	28200000000	PCCI SECURITIES BROKERS CORP.	2,596,200.00	0.7216923
11	10300000000	ACCORD CAPITAL EQUITIES CORPORATION	2,368,271.00	0.6583326
12	26300000000	VENTURE SECURITIES, INC.	2,215,458.00	0.6158536
13	23300000000	R. COYIUTO SECURITIES, INC.	1,939,800.00	0.5392261
14	17400000000	HDI SECURITIES, INC.	1,925,300.00	0.5351954
15	15400000000	EVERGREEN STOCK BROKERAGE & SEC., INC.	1,696,100.00	0.4714823
16	23500000000	REGINA CAPITAL DEVELOPMENT CORPORATION	1,642,400.00	0.4565548
17	24000000000	S.J. ROXAS & CO., INC.	1,568,000.00	0.4358730
18	27900000000	BDO SECURITIES CORPORATION	1,404,700.00	0.3904789
19	21700000000	RCBC SECURITIES, INC.	1,203,600.00	0.3345770
20	23600000000	R. NUBLA SECURITIES, INC.	1,175,300.00	0.3267102
21	27500000000	YAO & ZIALCITA, INC.	1,101,300.00	0.3061397
22	28800000000	G.D. TAN & COMPANY, INC.	1,042,000.00	0.2896554
23	14700000000	E. CHUA CHIACO SECURITIES, INC.	976,500.00	0.2714477
24	17000000000	GOLDSTAR SECURITIES, INC.	940,600.00	0.2614682
25	11000000000	ANGPING & ASSOCIATES SECURITIES, INC.	924,600.00	0.2570205
26	22900000000	SALISBURY BKT SECURITIES CORPORATION	842,000.00	0.2340594
27	15000000000	EASTERN SECURITIES DEVELOPMENT CORPORATION	792,600.00	0.2203272
28	11200000000	AB CAPITAL SECURITIES, INC.	754,200.00	0.2096527

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29	26900000000	WEALTH SECURITIES, INC.	743,000.00	0.2065393
30	21300000000	NIEVES SECURITIES, INC.	708,000.00	0.1968100
31	28600000000	SOLAR SECURITIES, INC.	696,000.00	0.1934743
32	24700000000	STANDARD SECURITIES CORPORATION	680,300.00	0.1891100
33	32800000000	DW CAPITAL INC.	678,400.00	0.1885818
34	22000000000	MAYBANK ATR KIM ENG SECURITIES, INC.	670,600.00	0.1864136
35	13600000000	TRITON SECURITIES CORP.	606,400.00	0.1685672
36	14500000000	DIVERSIFIED SECURITIES, INC.	591,500.00	0.1644253
37	19200000000	STRATEGIC EQUITIES CORP.	575,500.00	0.1599776
38	23000000000	QUALITY INVESTMENTS & SECURITIES CORPORATION	531,800.00	0.1478299
39	22500000000	PREMIUM SECURITIES, INC.	530,200.00	0.1473851
40	22400000000	PNB SECURITIES, INC.	517,000.00	0.1437158
41	11100000000	ANSALDO, GODINEZ & CO., INC.	474,200.00	0.1318182
42	12900000000	SINCERE SECURITIES CORPORATION	438,100.00	0.1217831
43	21100000000	NEW WORLD SECURITIES CO., INC.	413,800.00	0.1150282
44	18000000000	I. B. GIMENEZ SECURITIES, INC.	400,000.00	0.1111921
45	21800000000	PAN ASIA SECURITIES CORP.	380,000.00	0.1056325
46	10100000000	A & A SECURITIES, INC.	368,500.00	0.1024357
47	28300000000	EAGLE EQUITIES, INC.	362,600.00	0.1007956
48	24600000000	SUMMIT SECURITIES, INC.	359,000.00	0.0997949
49	25100000000	TANSENGCO & CO., INC.	336,000.00	0.0934014
50	12200000000	BELSON SECURITIES, INC.	325,900.00	0.0905938
51	24300000000	FIDELITY SECURITIES, INC.	325,000.00	0.0903436
52	25200000000	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	290,000.00	0.0806143
53	27000000000	WESTLINK GLOBAL EQUITIES, INC.	287,400.00	0.0798915
54	27800000000	YU & COMPANY, INC.	266,200.00	0.0739983
55	25900000000	UCPB SECURITIES, INC.	259,500.00	0.0721359
56	23800000000	R. S. LIM & CO., INC.	255,100.00	0.0709128
57	25300000000	TOWER SECURITIES, INC.	254,850.00	0.0708433
58	15700000000	FIRST ORIENT SECURITIES, INC.	252,400.00	0.0701622
59	16100000000	FRANCISCO ORTIGAS SECURITIES, INC.	249,400.00	0.0693283
60	16700000000	AURORA SECURITIES, INC.	224,000.00	0.0622676
61	11800000000	ASIASEC EQUITIES, INC.	201,900.00	0.0561242
62	23900000000	RTG & COMPANY, INC.	195,000.00	0.0542061
63	17900000000	I. ACKERMAN & CO., INC.	181,700.00	0.0505090
64	27200000000	BERNAD SECURITIES, INC.	180,000.00	0.0500364
65	20600000000	MERIDIAN SECURITIES, INC.	169,500.00	0.0471177
66	13100000000	PCIB SECURITIES, INC.	166,000.00	0.0461447
67	20400000000	DA MARKET SECURITIES, INC.	166,000.00	0.0461447
68	20800000000	MDR SECURITIES, INC.	163,500.00	0.0454498

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69	20000000000	MANDARIN SECURITIES CORPORATION	159,300.00	0.0442823
70	14900000000	EAST WEST CAPITAL CORPORATION	150,000.00	0.0416970
71	21500000000	OPTIMUM SECURITIES CORPORATION	149,900.00	0.0416692
72	18200000000	IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	145,000.00	0.0403071
73	19200000002	STRATEGIC EQUITIES CORP. FAO LIBERTY INSURANCE CORPORATION	144,000.00	0.0400292
74	17200000000	GUILD SECURITIES, INC.	143,400.00	0.0398624
75	10000000000	UPCC SECURITIES CORP.	135,500.00	0.0376663
76	24700000005	PIONEER INSURANCE & SURETY CORPORATION ACCOUNT #2	135,000.00	0.0375273
77	20500000000	MERCANTILE SECURITIES CORP.	133,500.00	0.0371104
78	26600000000	VICSAL SECURITIES & STOCK BROKERAGE, INC.	117,600.00	0.0326905
79	12800000000	CAMPOS, LANUZA & COMPANY, INC.	109,900.00	0.0305500
80	15300000000	EQUITIWORLD SECURITIES, INC.	108,900.00	0.0302720
81	16800000000	GLOBALINKS SECURITIES & STOCKS, INC.	106,300.00	0.0295493
82	11600000000	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	100,000.00	0.0277980
83	SCBK1000000	STANDARD CHARTERED BANK	94,000.00	0.0261301
84	38900000000	KING'S POWER SECURITIES, INC.	87,000.00	0.0241843
85	16900000000	JSG SECURITIES, INC.	86,300.00	0.0239897
86	18800000000	J.M. BARCELON & CO., INC.	85,000.00	0.0236283
87	25700000000	TRI-STATE SECURITIES, INC.	77,000.00	0.0214045
88	23700000000	AAA SOUTHEAST EQUITIES, INCORPORATED	75,100.00	0.0208763
89	10400000000	A. T. DE CASTRO SECURITIES CORP.	65,400.00	0.0181799
90	13300000000	CITISECURITIES, INC.	64,000.00	0.0177907
91	28500000000	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	60,500.00	0.0168178
92	24700000003	PIONEER INTERCONTINENTAL INS. CORP.	60,000.00	0.0166788
93	12400000000	B. H. CHUA SECURITIES CORPORATION	55,500.00	0.0154279
94	23100000000	R & L INVESTMENTS, INC.	55,000.00	0.0152889
95	18300000000	INTRA-INVEST SECURITIES, INC.	49,000.00	0.0136210
96	16200000000	F. YAP SECURITIES, INC.	47,200.00	0.0131207
97	19800000000	LUCKY SECURITIES, INC.	38,500.00	0.0107022
98	14300000000	DAVID GO SECURITIES CORP.	37,500.00	0.0104243
99	21000000000	MOUNT PEAK SECURITIES, INC.	35,900.00	0.0099795
100	16900000004	PIONEER INSURANCE & SURETY CORP.	35,000.00	0.0097293
101	12000000000	ATC SECURITIES, INC.	26,000.00	0.0072275
102	11900000000	ASTRA SECURITIES CORPORATION	21,000.00	0.0058376
103	16900000003	PIONEERINTER CONTINENTAL INSURANCE CORP.	20,000.00	0.0055596
104	14000000000	IGC SECURITIES INC.	18,000.00	0.0050036
105	19000000000	VALUE QUEST SECURITIES CORPORATION	17,000.00	0.0047257
106	25400000000	TRANS-ASIA SECURITIES, INC.	10,000.00	0.0027798
107	25500000000	APEX PHILIPPINES EQUITIES CORPORATION	6,000.00	0.0016679
108	14200000000	DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	5,000.00	0.0013899

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109	19700000000	LOPEZ, LOCSIN, LEDESMA & CO., INC.	4,000.00	0.0011119
110	1120000FTXN	AB CAPITAL SECURITIES, INC.	3,000.00	0.0008339
111	24200000000	SECURITIES SPECIALISTS, INC.	3,000.00	0.0008339
112	10900000000	BA SECURITIES, INC.	2,000.00	0.0005560
113	38800000000	ARMSTRONG SECURITIES, INC.	1,000.00	0.0002780
114	33800000000	PHILIPPINE EQUITY PARTNERS, INC.	500.00	0.0001390